

	<u>CUSTODIAN FEE (Pershing)</u>		<u>BROKER-DEALER FEE (FHC)</u>		<u>TOTAL FEE TO CLIENT</u>	
	<u>BROKERAGE</u>	<u>ADVISORY</u>	<u>BROKERAGE</u>	<u>ADVISORY</u>	<u>BROKERAGE</u>	<u>ADVISORY</u>
TRANSACTION/TRADE FEES						
Dividend Reinvestment	\$1.00	\$1.00	\$0.00	\$0.00	\$1.00	\$1.00
FundVest (NTF Platform) - Short Term Redemption Fee	\$50.00	\$50.00	\$0.00	\$0.00	\$50.00	\$0.00 **
FundVest (NTF Platform) - Systematic Reinvestment (SR) Short Term Redemption Fee	\$5.00	\$5.00	\$0.00	\$0.00	\$5.00	\$0.00 **
FundVest (NTF Platform) - SR Exchange Short Term Redemption Fee	\$5.00	\$5.00	\$0.00	\$0.00	\$5.00	\$0.00 **
Mutual Fund Share Class Exchange (buy/sell)	\$6.00	\$6.00	\$0.00	\$0.00	\$6.00	\$0.00 **
Home Office Assisted Trades (Client Calls Home Office to Place Buy or Sell Trades)						
Mutual Funds	\$8.00	\$8.00	\$30.00	\$0.00	\$38.00	\$0.00 **
Equities	\$8.00	\$8.00	\$35.00	\$0.00	\$43.00	\$0.00 **
Exchange Traded Funds (ETFs)	\$8.75	\$8.75	\$34.25	\$0.00	\$43.00	\$0.00 **
Bonds (Government & Municipal)	\$12.00	\$12.00	\$33.00	\$0.00	\$45.00	\$0.00 **
Bonds (Corporate)	\$14.00	\$14.00	\$31.00	\$0.00	\$45.00	\$0.00 **
Unit Investment Trusts	\$14.00	\$14.00	\$41.00	\$0.00	\$55.00	\$0.00 **
Options	\$9.00	\$9.00	\$37.25	\$0.00	\$46.25	\$0.00 **
Section 31 Transaction Fees (Please refer to sec.gov/fast-answers/answerssec31.htm)	Pass through	\$0.00	Pass through	\$0.00	Pass through	\$0.00**
ACCOUNT MAINTENANCE FEES						
Retirement Account Maintenance Fees - Traditional						
IRA, Roth, Coverdell Accounts, and IRS Model 5305 SEP (per year)	\$43.50	\$43.50	\$6.50	\$0.00	\$50.00	\$43.50
SARSEP/Prototype SEP, SIMPLE IRA, and 403(b)(7) Custodial Accounts (per year)	\$58.50	\$58.50	\$0.00	\$0.00	\$58.50	\$58.50
Individual(k), Simplified (401(k), Profit Sharing & Money Purchase) (per year)	\$75.00	\$75.00	\$0.00	\$0.00	\$75.00	\$75.00
Flexible 401(k), Flexible Profit Sharing and Flexible Money Purchase (per year)	\$125.00	\$125.00	\$0.00	\$0.00	\$125.00	\$125.00
IRA, Roth IRA, SIMPLE and SEP - Mutual Fund Only Account (per year)	\$12.00	\$12.00	\$0.00	\$0.00	\$12.00	\$12.00
Retail Account Inactivity Fees						
Inactivity Fee for Retail Accounts (per account/per year)	\$25.00	\$25.00	\$25.00	\$0.00	\$50.00	\$0.00 **
Inactivity Fee for Retail Accounts - Mutual Fund Only (per account/per year)	\$12.50	\$12.50	\$12.50	\$0.00	\$25.00	\$0.00 **
ACCOUNT TERMINATION AND TRANSFER FEES						
DRS Transfer	\$10.00	\$10.00	\$10.00	\$10.00	\$20.00	\$20.00
DRS Transfer into Custodian	\$0.00	\$0.00	\$5.00	\$5.00	\$5.00	\$5.00
DTC Direct Mail Transfer	\$10.00	\$10.00	\$0.00	\$0.00	\$10.00	\$10.00
Legal, GNMA, and Restricted Transfers (per transfer)	\$135.00	\$135.00	\$10.00	\$10.00	\$145.00	\$145.00
Register and Ship Physical Certificate (per event)	\$60.00	\$60.00	\$15.00	\$15.00	\$75.00	\$75.00
Registration Transfer Fee	\$60.00	\$60.00	\$15.00	\$15.00	\$75.00	\$75.00

****For advisory accounts, fee is included in total advisory fee**

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	<u>BROKERAGE</u>	<u>ADVISORY</u>	<u>BROKERAGE</u>	<u>ADVISORY</u>	<u>BROKERAGE</u>	<u>ADVISORY</u>
Transfer Fee - Retail Accounts (per account)	\$65.00	\$65.00	\$85.00	\$85.00	\$150.00	\$150.00
Transfer/Termination Fee - Retirement Accounts	\$95.00	\$95.00	\$0.00	\$0.00	\$95.00	\$95.00
Mutual Fund Only Conversion Fee (per event)	\$50.00	\$50.00	\$0.00	\$0.00	\$50.00	\$50.00
ALTERNATIVE INVESTMENTS AND SPECIAL PRODUCT FEES						
Registered Alternative Investment Annual Fee	\$35.00	\$35.00	\$0.00	\$0.00	\$35.00	\$35.00
Registered Alternative Investment Transfer Fee (per transfer)	\$35.00	\$35.00	\$0.00	\$0.00	\$35.00	\$35.00
Unregistered Alternative Investment Annual Fee	\$125.00	\$125.00	\$0.00	\$0.00	\$125.00	\$125.00
Unregistered Alternative Investment Transfer Fee (per transfer)	\$125.00	\$125.00	\$0.00	\$0.00	\$125.00	\$125.00
Alternative Investment Network Processing Fee	\$50.00	\$50.00	\$0.00	\$0.00	\$50.00	\$50.00
Alternative Investment Network Transaction Fee	\$50.00	\$50.00	\$0.00	\$0.00	\$50.00	\$50.00
Special Statement Production - Forced Statement (per statement)	\$1.00	\$1.00	\$2.00	\$2.00	\$3.00	\$3.00
Special Statement Production - Year End Account Report (excludes postage)	\$3.00	\$3.00	\$2.00	\$2.00	\$5.00	\$5.00
Alternative Investment Manager Eligibility Review (per cusip)	\$300.00	\$300.00	\$0.00	\$0.00	\$300.00	\$300.00
Unrelated Business Taxable Income (UBTI) Tax Return Filing (IRS Form 990-T)	\$200.00	\$200.00	\$0.00	\$0.00	\$200.00	\$200.00
CORESTONE ACCOUNT FEES						
ACH Return	\$25.00	\$25.00	\$0.00	\$0.00	\$25.00	\$25.00
Returned Checks/Stop Payments	\$25.00	\$25.00	\$5.00	\$0.00	\$30.00	\$30.00
Business Checks - Initial Order Fee for Gold Corporate and Platinum Corporate Accts	\$50.00	\$50.00	\$0.00	\$0.00	\$50.00	\$50.00
Business Checks - Reorder Fee for Gold Corporate and Platinum Corporate Accts	\$40.00	\$40.00	\$0.00	\$0.00	\$40.00	\$40.00
Business Checks - Replacement Binder for Gold Corporate and Platinum Corporate Accts	\$20.00	\$20.00	\$0.00	\$0.00	\$20.00	\$20.00
Carbon Copy Checks - Initial Order	\$15.00	\$15.00	\$0.00	\$0.00	\$15.00	\$15.00
Carbon Copy Checks - Reorder	\$25.00	\$25.00	\$0.00	\$0.00	\$25.00	\$25.00
Cash Advance Fee (non-ATM) (\$2.50 minimum fee)	.25% of principal	.25% of principal	\$0.00	\$0.00	.25% of principal	.25% of principal
Copy of Paid Checks or VISA® Draft	\$2.50	\$2.50	\$0.00	\$0.00	\$2.50	\$2.50
Personal Check Reorder Fee for Platinum Accounts	\$7.50	\$7.50	\$0.00	\$0.00	\$7.50	\$7.50
Personal Check Reorder Fee for Gold Accounts	\$10.00	\$10.00	\$0.00	\$0.00	\$10.00	\$10.00
Personal Check Reorder Fee for Silver Accounts	\$12.50	\$12.50	\$0.00	\$0.00	\$12.50	\$12.50
Platinum Corporate Account Annual Fee	\$250.00	\$250.00	\$0.00	\$0.00	\$250.00	\$250.00
Platinum Account Annual Fee (includes Tax-Lot Service and 1099 Tax and Year End Statement)	\$150.00	\$150.00	\$0.00	\$0.00	\$150.00	\$150.00
Gold Corporate Account Annual Fee	\$150.00	\$150.00	\$0.00	\$0.00	\$150.00	\$150.00
Gold Account Annual Fee (includes Tax-Lot Service and 1099 Tax and Year End Statement)	\$100.00	\$100.00	\$0.00	\$0.00	\$100.00	\$100.00
Silver Plus Account Annual Fee	\$50.00	\$50.00	\$0.00	\$0.00	\$50.00	\$50.00
Silver Account Annual Fee	\$25.00	\$25.00	\$0.00	\$0.00	\$25.00	\$25.00

	<u>CUSTODIAN FEE (Pershing)</u>		<u>BROKER-DEALER FEE (FHC)</u>		<u>TOTAL FEE TO CLIENT</u>	
	<u>BROKERAGE</u>	<u>ADVISORY</u>	<u>BROKERAGE</u>	<u>ADVISORY</u>	<u>BROKERAGE</u>	<u>ADVISORY</u>
MISCELLANEOUS FEES						
1099 Tax and Year End Statement (Enhanced) (per account/per year)	\$2.00	\$2.00	\$0.00	\$0.00	\$2.00	\$2.00
ACH Return Charge	\$20.00	\$20.00	\$5.00	\$5.00	\$25.00	\$25.00
Insufficient Funds/Uncollected Funds/Voided Check (per event)	\$20.00	\$20.00	\$10.00	\$10.00	\$30.00	\$30.00
Loan Processing (per loan)	\$50.00	\$0.00	\$0.00	\$0.00	\$50.00	\$50.00
Overnight Check Delivery Fee - Standard Delivery (per item)	\$12.00	\$12.00	\$8.00	\$8.00	\$20.00	\$20.00
Overnight Check Delivery Fee - Saturday Delivery (per item)	\$18.00	\$18.00	\$12.00	\$12.00	\$30.00	\$30.00
Overnight Check Delivery Fee- International Delivery (per item)	\$25.00	\$25.00	\$10.00	\$10.00	\$35.00	\$35.00
Paper Delivery Surcharge Fee for Statements (per statement)	\$0.75	\$0.75	\$1.25	\$1.25	\$2.00	\$2.00
Paper Delivery Surcharge Fee for Confirmations (per confirmation)	\$0.75	\$0.75	\$1.25	\$1.25	\$2.00	\$2.00
Stop Check Fee (per event) (non-Cornerstone accounts)	\$10.00	\$10.00	\$10.00	\$10.00	\$20.00	\$20.00
Wire Fees (outbound only)	\$20.00	\$20.00	\$5.00	\$5.00	\$25.00	\$25.00
Precious Metal Storage Fee (per year-based on market value)	75 bps	75 bps	25 bps	25 bps	100 bps	100 bps
Bond Call and Good til Cancel Notifications (per notification)	\$1.00	\$1.00	\$0.00	\$0.00	\$1.00	\$1.00
Safekeeping Fees (per position/per month)	\$10.00	\$10.00	\$5.00	\$5.00	\$15.00	\$15.00
Bond Redemptions (per event)	\$10.00	\$10.00	\$10.00	\$10.00	\$20.00	\$20.00
Reorganizations - Voluntary Actions (per event)	\$50.00	\$50.00	\$10.00	\$10.00	\$60.00	\$60.00
Reorganizations - Mandatory (per event)	\$10.00	\$10.00	\$10.00	\$10.00	\$20.00	\$20.00
Margin Extensions (per event)	\$10.00	\$10.00	\$10.00	\$10.00	\$20.00	\$20.00
Tax Lot Service (per account, per month)	\$1.00	\$1.00	\$0.00	\$0.00	\$1.00	\$1.00
Foreign Receive & Deliver Fees						
Agent Bank Fees - Euro Clear	\$25.00	\$25.00	\$0.00	\$0.00	\$25.00	\$25.00
Agent Bank Fees - Local Market	\$75.00	\$75.00	\$0.00	\$0.00	\$75.00	\$75.00
Clearance Fee Acats	\$75.00	\$75.00	\$25.00	\$25.00	\$100.00	\$100.00
Euro Clear Acats	\$25.00	\$25.00	\$50.00	\$50.00	\$75.00	\$75.00
Deposit and Withdrawal at Custodian (DWAC) (per event)						
DTC Processing Fee	\$5.00	\$5.00	\$0.00	\$0.00	\$5.00	\$5.00
DTC Deposit	\$3.00	\$3.00	\$2.00	\$2.00	\$5.00	\$5.00
DTC Withdrawal	\$5.00	\$5.00	\$2.00	\$2.00	\$7.00	\$7.00
Albridge Portfolio Performance Packages (per account/per quarter)						
Online Performance - Basic	\$9.00	\$9.00	\$0.00	\$0.00	\$9.00	\$9.00
Online Performance - Plus	\$12.00	\$12.00	\$0.00	\$0.00	\$12.00	\$12.00
Performance Reporting - Basic (edelivery only)	\$18.75	\$18.75	\$0.00	\$0.00	\$18.75	\$18.75
Performance Reporting - Plus (edelivery only)	\$31.25	\$31.25	\$0.00	\$0.00	\$31.25	\$31.25
Performance Reporting - Print and Mail Services (per account/per event)	\$3.75	\$3.75	\$0.00	\$0.00	\$3.75	\$3.75
Cashless Options Exercise Program (per exercise)	\$50.00	\$50.00	\$0.00	\$0.00	\$50.00	\$50.00