



First Heartland®
Investor Information

Investor Education and Protection. As a customer of our firm you may secure additional investor information by any of the following methods:

- 1) FINRA Regulation Public Disclosure Program Hotline - (800) 289-9999 OR
- 2) FINRA Regulation Web Site - www.finra.org OR
- 3) Request a copy of the investor brochure that describes the Public Disclosure Program.

SIPC Information (center). You may obtain information about SIPC, including the SIPC brochure, by contacting SIPC by either of the following methods:

- 1) SIPC's Web Site: www.sipc.org OR
- 2) SIPC's telephone number: (202) 371-8300.

First Heartland® Capital, Inc. is registered with the Securities and Exchange Commission (SEC), the Municipal Securities Rulemaking Board (MSRB), and the Financial Industry Regulatory Authority (FINRA). An investor brochure is posted to the MSRB website at www.msrb.org. This brochure describes the protections that may be provided by the MSRB rules and how to file a complaint with an appropriate regulatory authority.